

**GOVERNMENT OF INDIA
MINISTRY OF SOCIAL JUSTICE AND EMPOWERMENT
LOK SABHA**

**UNSTARRED QUESTION NO.256
TO BE ANSWERED ON 19.07.2022**

SCHEDULED CASTE SUB-PLAN

256. SHRI S. VENKATESAN:

Will the Minister of SOCIAL JUSTICE AND EMPOWERMENT be pleased to state:

- (a) the amount that has been allocated under Scheduled Caste Sub-Plan (SCSP) during each of the last five years, ministry-wise;
- (b) the amount that was utilised, out of allocated amount under SCSP during the said period, ministrywise; and
- (c) the formula adopted for allocation to SCSP and percentage of allocations made to the total spending of the budget during the said period?

**ANSWER
MINISTER OF STATE FOR SOCIAL JUSTICE AND EMPOWERMENT
(SHRI RAMDAS ATHAWALE)**

- (a) & (b): The amount allocated and utilized under Scheduled Caste Sub-Plan (SCSP) during each of the last five years, ministry-wise is at **Annexure-I**.
- (c): the formula adopted for allocation to SCSP and percentage of allocations made to the total spending of the budget during the years is as per the Guidelines of DAPSC & DAPST. A copy of the Guidelines of the Scheme, DAPSC & DAPST is as per **Annexure-II**.

Annexure-I

Annexure-I, Reply to Unstarred. L.S. Q. No. 256 for 19.07.2022 Ministry/Department wise Allocation and Utilization 2018-2019/ Data taken from e-utthaan 10A statement as on 14-07-2022				
S. No.	Ministry/Department Name	DAPSC Allocation (Cr.)	Revised Allocation (Cr.)	Expenditure (Cr.)
1	Department of Agricultural Research and Education	0.00	190.95	190.95
2	Department of Agriculture, Cooperation and Farmers' Welfare	7654.09	11165.86	5554.43
3	Department of Animal Husbandry, Dairying and Fisheries	475.36	492.03	486.46
4	Department of Commerce	25.00	23.77	19.97
5	Department of Consumer Affairs	5.81	4.98	4.20
6	Department of Empowerment of Persons with Disabilities	133.13	137.6	133.54
7	Department of Health and Family Welfare	6045.70	6528.69	5221.67
8	Department of Higher Education	2960.00	2828.09	2588.36
9	Department of Land Resources	415.17	329.68	309.03
10	Department of Rural Development	7637.80	7241.8	7093.58
11	Department of School Education and Literacy	9175.91	9168.16	8541.58
12	Department of Science and Technology	104.85	104.85	72.83
13	Department of Social Justice and Empowerment	5562.58	8197.58	8170.50
14	Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH)	56.00	56	54.80
15	Ministry of Culture	56.10	49.26	6.82
16	Ministry of Development of North Eastern Region	98.40	128.4	59.55
17	Ministry of Drinking Water and Sanitation	4915.48	4395.17	3985.44
18	Ministry of Electronics and Information Technology	133.00	145	144.14
19	Ministry of Environment, Forests and Climate Change	70.35	70.35	65.71
20	Ministry of Food Processing Industries	55.00	37.42	8.09
21	Ministry of Housing and Urban Affairs	1519.57	1555.49	1486.49
22	Ministry of Labour and Employment	1200.68	1535	876.28
23	Ministry of Micro, Small and Medium Enterprises	1160.83	1208.4	1287.27
24	Ministry of New and Renewable Energy	217.00	217	122.01
25	Ministry of Panchayati Raj	133.63	114.96	114.94
26	Ministry of Power	1867.40	1746.3	1746.30
27	Ministry of Skill Development & Entrepreneurship	485.80	416.03	371.93
28	Ministry of Textiles	212.01	158.41	116.54
29	Ministry of Women and Child Development	3900.44	3913.5	3605.77
30	Ministry of Youth Affairs and Sports	316.66	286.35	216.18
31	UT-Chandigarh	21.37	23.15	0.00
32	UT-Daman and Diu	3.38	3.38	0.00
	Total	56618.5	62473.61	52655.369

Ministry/Department wise Financial Summary 2019-2020 /Data taken from e-utthaan 10A statement as on 14-07-2022

S.No.	Ministry/Department Name	DAPSC Allocation (Cr.)	Revised Allocation (Cr.)	Expenditure (Cr.)
1	Department of Agricultural Research and Education	205.46	193.07	196.70
2	Department of Agriculture, Cooperation and Farmers' Welfare	21556.85	16826.93	12773.05
3	Department of Animal Husbandry and Dairying	455.08	425.72	425.70
4	Department of Commerce	25.00	25	26.25
5	Department of Consumer Affairs	5.14	4	4.00
6	Department of Empowerment of Persons with Disabilities	168.55	149.18	114.07
7	Department of Fisheries	112.89	88.25	83.91
8	Department of Health and Family Welfare	7802.17	7802.17	7505.27
9	Department of Higher Education	3207.00	3206.99	2936.94
10	Department of Land Resources	367.86	295.98	295.97
11	Department of Rural Development	6525.01	5921.49	5929.64
12	Department of School Education and Literacy	10257.92	10254.92	8771.82
13	Department of Science and Technology	223.48	215.88	214.87
14	Department of Social Justice and Empowerment	6371.1	6341.1	6273.24
15	Department of Telecommunications	1823.32	271.66	22.66
16	Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH)	67.00	56	55.97
17	Ministry of Coal	91.05	76.52	13.87
18	Ministry of Culture	47.85	11.76	10.83
19	Ministry of Development of North Eastern Region	247.50	198.17	198.17
20	Ministry of Drinking Water and Sanitation	4398.83	4034.56	4029.91
21	Ministry of Electronics and Information Technology	311.35	266.64	196.15
22	Ministry of Environment, Forests and Climate Change	100.00	84.25	86.35
23	Ministry of Food Processing Industries	91.38	73.82	21.29
24	Ministry of Housing and Urban Affairs	1696.24	1696.24	1698.18
25	Ministry of Labour and Employment	1793.30	1793.3	1052.06
26	Ministry of Micro, Small and Medium Enterprises	1105.72	1185.81	1199.05
27	Ministry of Mines	47.99	47.99	20.20
28	Ministry of New and Renewable Energy	426.00	311	285.18
29	Ministry of Panchayati Raj	140.93	78.29	78.29
30	Ministry of Petroleum and Natural Gas	3124.25	3254.15	3251.79
31	Ministry of Power	1551.52	1551.52	1551.04
32	Ministry of Skill Development & Entrepreneurship	426.56	331.73	340.14
33	Ministry of Textiles	451.40	337.17	247.18
34	Ministry of Women and Child Development	5782.87	5183.1	4331.25
35	Ministry of Youth Affairs and Sports	303.04	339.18	302.45
36	UT-Chandigarh	25.63	0	0.00
37	UT-Daman and Diu	3.50	2.75	0.00
	Total	81340.74	72936.29	64543.44

Ministry/Department wise Financial Summary 2020-2021/Data taken from e-utthaan 10A statement as on 14-07-2022

S. No.	Ministry/Department Name	DAPSC Allocation (Cr.)	Revised Allocation (Cr.)	Expenditure (Cr.)
1	Dadra and Nagar Haveli and Daman and Diu	0.00	0	0.00
2	Department of Agricultural Research and Education	207.42	173.46	175.70
3	Department of Agriculture, Cooperation and Farmers' Welfare	22212.43	19289.04	13294.94
4	Department of Animal Husbandry and Dairying	517.21	489.14	489.03
5	Department of Commerce	25.00	19	19.00
6	Department of Consumer Affairs	4.98	4.98	4.98
7	Department of Empowerment of Persons with Disabilities	132.80	91.84	83.99
8	Department of Fisheries	107.09	118.1	118.19
9	Department of Food and Public Distribution	0.00	10464.39	10464.39
10	Department of Health and Family Welfare	8300.00	7769.51	7845.74
11	Department of Higher Education	3210.00	2541.37	2348.22
12	Department of Land Resources	371.62	221.87	205.60
13	Department of Pharmaceuticals	0.00	34.62	34.62
14	Department of Rural Development	7180.00	6729	6913.70
15	Department of School Education and Literacy	10270.00	8987.04	9546.16
16	Department of Science and Technology	265.77	186.26	171.97
17	Department of Social Justice and Empowerment	7154.33	6221	6284.14
18	Department of Telecommunications	690.81	622.99	25.39
19	Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH)	71.00	71	69.44
20	Ministry of Coal	68.06	49.14	49.38
21	Ministry of Culture	48.00	32.6	21.83
22	Ministry of Development of North Eastern Region	251.30	152.99	153.20
23	Ministry of Drinking Water and Sanitation	4728.70	3740	3364.28
24	Ministry of Electronics and Information Technology	328.52	253	251.50
25	Ministry of Environment, Forests and Climate Change	109.00	95	91.50
26	Ministry of Food Processing Industries	89.75	95.45	60.94
27	Ministry of Housing and Urban Affairs	850.48	1877.78	3956.16
28	Ministry of Labour and Employment	1887.67	2173.69	2141.99
29	Ministry of Micro, Small and Medium Enterprises	1216.86	692.08	824.41
30	Ministry of Mines	44.58	44.58	42.65
31	Ministry of New and Renewable Energy	469.00	278	207.95
32	Ministry of Panchayati Raj	144.04	84.15	110.39
33	Ministry of Petroleum and Natural Gas	3552.60	3186.79	2411.11
34	Ministry of Power	1637.00	996	898.00
35	Ministry of Skill Development & Entrepreneurship	402.55	366.31	364.55
36	Ministry of Textiles	376.14	181.64	185.84
37	Ministry of Women and Child Development	5944.08	4151.7	3958.19
38	Ministry of Youth Affairs and Sports	387.83	222	214.19
39	UT-Chandigarh	0.00	0	0.00
	Total	83256.62	82707.51	77403.25

Ministry/Department wise Financial Summary 2021-2022/Data taken from e-utthaan 10A statement as on 14-07-2022				
S. No.	Ministry/Department Name	DAPSC Allocation (Cr.)	Revised Allocation (Cr.)	Expenditure
1	Department of Agricultural Research and Education	422.20	372.25	189.98
2	Department of Agriculture, Cooperation and Farmers' Welfare	19459.69	19015.23	12790.25
3	Department of Animal Husbandry and Dairying	518.09	408.57	406.53
4	Department of Commerce	25.00	25	18.14
5	Department of Consumer Affairs	3.70	2.41	2.41
6	Department of Empowerment of Persons with Disabilities	117.82	89.72	82.04
7	Department of Fertilisers	6934.50	12371.52	13092.43
8	Department of Fisheries	169.82	202.11	181.36
9	Department of Food and Public Distribution	20874.80	24481.24	23913.56
10	Department of Health and Family Welfare	8542.51	10759.2	8005.90
11	Department of Higher Education	3928.00	3335.11	2922.98
12	Department of Land Resources	1020.91	531.65	256.29
13	Department of Pharmaceuticals	32.00	51.71	51.71
14	Department of Rural Development	19258.82	24737.92	22734.22
15	Department of School Education and Literacy	9420.68	8728.49	6944.18
16	Department of Science and Technology	242.00	184.7	173.28
17	Department of Social Justice and Empowerment	7751.62	7848.06	5460.57
18	Department of Telecommunications	774.30	27.03	0.00
19	Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH)	71.00	68.58	68.11
20	Ministry of Coal	34.85	70.65	69.66
21	Ministry of Culture	37.78	40.44	31.84
22	Ministry of Development of North Eastern Region	218.91	218.91	218.91
23	Ministry of Drinking Water and Sanitation	12973.79	10995.12	9236.62
24	Ministry of Electronics and Information Technology	564.93	530.2	428.15
25	Ministry of Environment, Forests and Climate Change	146.00	122	119.22
26	Ministry of Food Processing Industries	99.60	98.69	51.85
27	Ministry of Housing and Urban Affairs	911.78	2045.76	2113.47
28	Ministry of Labour and Employment	2092.60	2254.95	3780.21
29	Ministry of Micro, Small and Medium Enterprises	712.07	2558.85	2529.47
30	Ministry of Mines	28.82	31.56	31.61
31	Ministry of New and Renewable Energy	469.00	374	245.36
32	Ministry of Panchayati Raj	152.30	181.87	198.44
33	Ministry of Petroleum and Natural Gas	1073.19	448	177.04
34	Ministry of Power	1477.60	1275.85	1417.29
35	Ministry of Skill Development & Entrepreneurship	371.67	361.49	279.83
36	Ministry of Textiles	346.01	243.48	216.15
37	Ministry of Women and Child Development	4832.40	4613.91	4481.18
38	Ministry of Youth Affairs and Sports	233.44	250.19	181.68
	Total	126344.20	139956.42	123101.88

Ministry/Department wise Financial Summary 2022-2023 /Data taken from e-utthaan 10A statement as on 14-07-2022				
S. No.	Ministry/Department Name	DAPSC Allocation (Cr.)	Revised Allocation (Cr.)	Expenditure
1	Department of Agricultural Research and Education	165.70	0.00	85.44
2	Department of Agriculture, Cooperation and Farmers' Welfare	20472.12	0.00	2833.32
3	Department of Animal Husbandry and Dairying	616.62	0.00	6.41
4	Department of Commerce	25.01	0.00	1.26
5	Department of Consumer Affairs	2.08	0.00	0.198
6	Department of Empowerment of Persons with Disabilities	117.09	0.00	0.88
7	Department of Fertilisers	9062.50	0.00	2036.42
8	Department of Fisheries	315.21	0.00	0.00
9	Department of Food and Public Distribution	17666.34	0.00	1063.05
10	Department of Health and Family Welfare	10424.35	0.00	1064.19
11	Department of Higher Education	3889	0.00	761.00
12	Department of Land Resources	379.02	0.00	0.00
13	Department of Pharmaceuticals	35.07	0.00	26.56
14	Department of Rural Development	25437.67	0.00	4776.94
15	Department of School Education and Literacy	10993.95	0.00	195.81
16	Department of Science and Technology	240.15	0.00	30.02
17	Department of Social Justice and Empowerment	9508.01	0.00	1094.33
18	Department of Telecommunications	41.50	0.00	0.00
19	Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homoeopathy (AYUSH)	95.00	0.00	7.00
20	Ministry of Coal	26.10	0.00	1.20
21	Ministry of Cooperation	121.20	0.00	0.00
22	Ministry of Culture	44.20	0.00	4.12
23	Ministry of Development of North Eastern Region	230.54	0.00	20.66
24	Ministry of Drinking Water and Sanitation	14555.00	0.00	114.53
25	Ministry of Electronics and Information Technology	886.12	0.00	0.00
26	Ministry of Environment, Forests and Climate Change	158.70	0.00	1.50
27	Ministry of Food Processing Industries	149.40	0.00	5.01
28	Ministry of Housing and Urban Affairs	2549.54	0.00	525.24
29	Ministry of Labour and Employment	2682.42	0.00	555.06
30	Ministry of Micro, Small and Medium Enterprises	3291.97	0.00	1491.31
31	Ministry of Mines	32.45	0.00	20.57
32	Ministry of New and Renewable Energy	364.00	0.00	0.00
33	Ministry of Panchayati Raj	138.80	0.00	0.00
34	Ministry of Petroleum and Natural Gas	469.00	0.00	3.15
35	Ministry of Power	1246.25	0.00	107.05
36	Ministry of Skill Development & Entrepreneurship	393.75	0.00	5.12
37	Ministry of Textiles	223.98	0.00	45.73
38	Ministry of Women and Child Development	4988.00	0.00	0.00
39	Ministry of Youth Affairs and Sports	304.55	0.00	32.25
	Total	142342.36	0.00	16915.33

NITI Aayog
(Social Justice & Empowerment Division)

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Guidelines for earmarking of funds for Development Action Plan for SCs and STs (DAPSC & DAPST)

The earlier system of planning has been discontinued and there has been merger of plan and non-plan expenditure with effect from 2017-18. In the changed system of budgeting, there is a need for clarity regarding new arrangement for earmarking of funds for SCs and STs in their budgets by the concerned Central Ministries/Departments.

2. Earlier earmarking of funds for SCs and STs were done by the concerned Ministries/Departments against their Plan allocation under the broad strategies of Scheduled Cases Su-Plan (SCSP) and Tribal Sub-Plan (TSP) as per the criteria recommended by the Task Force, 2010. Earmarked allocation was also shown Ministry/Department-wise against the respective Centrally Sponsored Schemes (CSSs) and Central Sector Schemes (CSs) in the Union Budget document. The Task Force constituted by the erstwhile Planning Commission had recommended for differential earmarking i.e. earmarking at different rates by the Ministries/Departments and only against their plan outlay. Non-Plan component of the outlays were kept out of the purview of the Sub-Plans. The Task Force had identified 26 Ministries/Departments for SCSP and 32 for TSP as obligated to earmark funds and implement schemes for SCs and STs.

3. In view of new system of budgeting, NITI Aayog was entrusted with the task of looking into the issue of alternative arrangements for earmarking of funds for SCs and STs. The matter was considered by the Senior Management Committee (SMC) of NITI Aayog in its meeting held on 24.04.2017. The SMC desired, Shri Ratan P. Watal, Principal Adviser, Social Sector, NITI Aayog to guide SMC on the matter. The recommendations stated in these guidelines are outcome of consultation process (2017) under the Chairmanship of Principal Adviser, and would guide the earmarking of funds by the Ministries/Departments for DAPSC and DAPST, while going beyond and building on the recommendations of the earlier Task Force 2010, signifying continuity with enrichment. Some other issues considered regarding earmarking of funds, were as follows:

- (i) Whether strategy for implementation of the schemes for welfare of SCs and STs shall continue as per existing guidelines?
- (ii) Decision regarding 'No-Obligation Ministries/Departments'.
- (iii) Need or otherwise of a national level institution to work as think-tank for SCs and STs.
- (iv) Need or otherwise of a Central Legislation to ensure non-divertibility and/or non-lapsability of allocation and to guide planning & implementation.
- (v) How to ensure mainstreaming most vulnerable community groups in major flagship programmes, as reflected in development outcomes- in addition to earmarked allocations and specific schemes ?
- (vi) How to enhance /create institutional capacity at national/state/ district and local levels for ensuring a voice and social inclusion of the most vulnerable community groups?
- (vii) How to create a framework for Social Sector Outcome Monitoring, that addresses multiple vulnerability and is responsive to the most vulnerable?

4. The issues were considered in NITI Aayog in consultation with various stakeholders i.e. concerned Central Ministries/Departments, State Governments, National Commissions for SCs and STs and Samavesh Forum of NITI Aayog, in addition to internal examination and review of the issues in NITI Aayog.

5. On the basis of suggestions that emerged from the consultations and internal review of the issues, the recommendations made regarding the new arrangements for earmarking of funds and other issues regarding implementation of schemes for SCs and STs have been detailed in the following paragraphs.

Continuation and Enrichment of strategy and Guidelines for implementation

6. So far as strategy for implementation of the sub-plans is concerned, promoting socio-economic interest of SCs and STs is a constitutional mandate. It is also an area of priority and commitment for the present government to promote the socio-economic empowerment and inclusive development of the SCs and STs. The commitment to ensure inclusive development of the most vulnerable and deprived community groups - especially SCs and STs has been further reaffirmed by the call for a New India By 2022, the 75th Year of India's Independence, This calls for an India free from poverty, dirt, corruption, terrorism, casteism and communalism, among other features. The need for an Antyodaya approach to reach the poorest of the poor and the most deprived has also been highlighted. The schemes and programmes, therefore will continue to be implemented in the broader contours of the earlier sub-plans. *In the new system of budgeting SCSP and TSP may be renamed as Development Action Plan for SCs (DAPSC) and Development Action Plan for STs (DAPST).*

Earmarking against overall allocation of CSSs and CSs and not against total budget of the Ministries/Departments

7. Earmarking should be against the overall allocation for schemes of the Ministries/Departments and not against the total budget of the concerned Ministries/Departments over and above the overall allocation for the schemes. However the Ministries/Departments will have flexibility to allocate funds for SCs and STs for specific activities within their overall budget, in case their existing schemes are not amenable to or do not have the scope for any such activities for SCs and STs.

Recommendations

8. The following are the guidelines recommended regarding identification of Ministries/Departments and earmarking of funds of DAPSC and DAPST and also to strengthen the existing guidelines in post planning stage:

Identification of Ministries and Earmarking of Funds

- (i) The earmarking should be done under specific schemes of the Ministry/Department. Overall earmarking of the Ministry/ Department should be calculated against the total allocation of these schemes and not against the total budget of the Ministry/ Department. However, some flexibility may be provided in specific instances wherever deemed necessary, and in case of non-utilization under one scheme, the budget should be utilized under the other schemes of the department, but for DAPSC or DAPST, as the case may be, with approval of the Ministry and Financial Adviser.

- (ii) Percentage of earmarking should not be less than 50% of the population proportion or as decided by the Task Force, or actuals whichever is higher. Ministry/ Department allocating funds for SCs and STs at higher percentages shall maintain existing percentages. This may not apply to Department of Social Justice and Empowerment as the Department has to address the needs of other weaker sections and vulnerable groups in the society.
- (iii) All the Ministries/Departments which fall in the category II (Identified by the Task Force) i.e. earmarking of funds in the range of 0-15% for SCSP and 0-7.5% for TSP should earmark at least minimum of 8.3% for DAPSC and 4.3% for DAPST (as per 2011 Census).
- (iv) Ministries/Departments which are earmarking funds only for SCs at present should also earmark funds for STs and *vice versa* except the nodal Ministries. For example, D/o Animal Husbandry and Commerce should also earmark for DAPST. D/o Agriculture Research, Coal, Telecommunication, Water Resources, etc. which are there in DAPST should earmark for DAPSC. Minimum percentage of earmarking should be as indicated in para (i) and (iii) above.
- (v) Ministries/Departments like M/o Development of North Eastern Region (DONER), M/o Skill Development and Entrepreneurship, and D/o Empowerment of Persons with Disabilities (DPwD) have earmarked funds for STs in 2017-18 i.e. @ 27.18%, 8.14% and 10.17% respectively. These Ministries/Department have been implementing mostly beneficiary oriented schemes and therefore should be brought in the 'obligatory' category.
- (vi) Ministries/Departments which have direct or indirect bearing on the welfare of SCs and STs in the country, but remain outside the purview of DAPSC & DAPST i.e. a) Department of Fertilizers, (b) Department of Pharmaceuticals, (c) Ministry of Food processing industries, (d) Department of Consumer Affairs, (e) Ministry of Urban Development and (f) Ministry of Petroleum and Natural Gas. These Ministries/Departments should earmark funds to the extent of at least 50% of population proportion of SCs and STs.
- (vii) Ministries/Departments which are earmarking funds in proportion to the population of SCs or STs as per 2001 Census or closer to that should earmark fund in proportion to population of SCs or STs as per 2011 Census.
- (viii) So far as Nodal Ministry, Ministry of Social Justice and Empowerment (SJE) is concerned, the present level of earmarking of 72.5% of funds by the D/o SJE for SCs as recommended by the Task Force should continue. However, over and above this, Scheduled Caste communities should also benefit from schemes implemented for other vulnerable sections like senior citizens, transgender, destitutes, drug addicts, etc. The issues of multiple vulnerability should also be addressed appropriately.
- (ix) State-wise distribution of allocation under various Centrally Sponsored Schemes by Central Ministries/ Departments, especially under beneficiary oriented schemes should be done in proportion to population for SCs and STs in the respective States/UTs.

- (x) Some Ministries/Departments like D/o Fertilizers, D/o Telecommunications, M/o Coal, M/o Mines, M/o Culture, etc. which do not have any scheme for SCs and/or STs, should set aside the earmarked allocation, evolve new and focused interventions and use the same for appropriate schemes/activities in consultation with the Nodal Ministry of Social Justice and Empowerment and Ministry of Tribal Affairs. The Nodal Ministries should coordinate as to how to use such allocations for direct benefits of SCs and STs.

Non-lapsability and non-divertibility

- (xi) In India the system of cash-based budgeting is followed. In view of this it is not feasible to follow the practice of non-lapsability. The issue of non-divertability is already inbuilt in the system due to allocation of funds on the basis of 'budget head'. However diversion of fund within the budget head shall be allowed subject to conditions mentioned in para (ii) above. Any further clarification, in this regard may be looked into by the Department of Expenditure.

National Level Institute for SCs and STs

- (xii) A National level Institute each for SCs and STs, on the pattern of National Institute for Rural Development should be set up to work as Think Tank and Resource Centre each for SCs and STs. For SCs, the Ambedkar International Centre or its infrastructure may be considered to be utilized as the National Institute. For STs, National Institute of Labour Economics Research and Development (NILERD) could be converted or infrastructure of NEILRD could be utilized for the same.
- (xiii) Linked to the National Institutes, States should also be encouraged to have/set up such institutes - at the State level and progressively at District levels. The district level institutes may specifically work for providing specific inputs for formulation of suitable projects/ schemes at the ground level in the interest of SCs and STs. This is especially relevant in the context of enhanced devolution of resources to States, urban local bodies and panchayati raj institutions, as per the implementation of the Fourteenth Finance Commission recommendations.

Enabling Provisions

- (xiv) Ministries concerned and States/UTs must ensure mainstreaming of the most vulnerable community groups in major flagship programmes under the National Development Agenda, as reflected in development outcomes- in addition to earmarked allocations and specific schemes.
- (xv) Ministries concerned and States/UTs may enable and promote institutional capacity at different levels to ensure improved utilization, effectiveness, efficiency and outcomes of allocations earmarked for SC/STs. This includes enabling representation in institutional mechanisms etc.
- (xvi) The performance of States and Districts on Social Inclusion may progressively be recognized and incentivized.
- (xvii) Ministries concerned and States/UTs must address multiple vulnerability through convergent interventions that may be multi sectoral in nature, specific to the State/district context.

(xviii) Ministries concerned and States/UTs may be encouraged to design new interventions based on programme implementation experience, with innovative ideas for Ministries which were earlier considered to be non obligatory.

Monitoring and Evaluation

(xix) Monitoring of the DAPSC and DAPST should be necessarily a priority area. Monitoring should be both output and outcome based and through dashboard. The nodal Ministries i.e. Ministry of Social Justice and Empowerment and Ministry of Tribal Affairs will be responsible for the monitoring as per amendment to Allocation of Business Rule 1961 vide Gazette Notification a F. No. 1/21/26/2016-Cab. dated 31st January, 2017.

(xx) The monitoring initiative should have special focus on people with multiple vulnerability such as SC/ST widows or women or men with disabilities; Particularly Vulnerable Tribal Groups (PVTGs); destitute SC/ST children etc.

(xxi) The social sector monitoring framework adopted at the central level under DAPSC and DAPST should also be carried forward to be implemented at the State and District levels for outcome monitoring of the initiatives for SCs and STs.

(xxii) The social sector monitoring framework should include integration of concerns related to inclusion of SC/STs in the existing Common Review Missions of major flagship programmes by concerned Ministries and National Commissions as a complementary approach for field based outcome monitoring. (Ministries such as MHFW, MHRD, MWCD & MRD are using Common Review Missions for National Health Mission, Elementary Education, WCD/ICDS & Rural Development Programmes.)

9. Details of criteria proposed for earmarking of funds **for DAPSC and DAPST** by respective Ministries/Departments may be seen at **Annexure-I**.

11. NITI Aayog's Role

- (i) While the Nodal Ministries should also be responsible for coordination with the concerned Ministries and Departments to ensure adequate fund for SCs and STs. NITI Aayog should also, in consultation with the nodal Ministries, ensure earmarking of the funds by the identified Ministries/Departments and periodically review their performance.
- (ii) NITI Aayog should be responsible for evaluation of DAPSC and DAPST. The nodal Ministries, M/o SJE and MoTA and the concerned Central Ministries/Departments should also undertake evaluation of their schemes as per the overall strategies of DAPSC and DAPST.

Category A: Obligatory Ministries/Departments**Ministry / Department wise existing earmarking and proposed percentage of earmarking****DAPSC (2011 Census)**

S.No.	Ministries/Departments	Existing percentage of earmarking	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPSC*
1	D/o Agriculture & Cooperation	16.20	16.13	16.60
2	D/o Animal Husbandry, Dairying and Fisheries	16.20	16.18	16.60
3	M/o AYUSH	5.00	14.02	14.00
4	M/o Commerce	4.50	1.07	8.30
5	M/o Development of North East Region	2.00	2.01	8.30
6	M/o Drinking Water and Sanitation	22.00	22.00	22.00
7	M/o Information Technology	2.00	1.39	8.30
8	M/o Environment & Forest	2.20	2.01	8.30
9	M/o Health and Family Welfare	15.20	15.90	16.60
10	M/o Housing and Urban Poverty Alleviation	22.50	22.42	22.50
11	D/o School Education & Literacy	20.00	21.74	21.74
12	D/o Higher Education	15.00	53.44#	16.60
13	M/o Labour and Employment	16.20	16.45	16.60
14	M/o Micro, Small and Medium Enterprises	12.00	11.39	16.60
15	M/o New and Renewable Energy	3.50	3.48	8.30
16	M/o Panchayati Raj	16.20	15.78	16.60
17	M/o Power	8.30	9.29	16.60
18	M/o Rural Development	25.00	8.07	25.00
19	D/o Land Resources	16.20	15.44	16.60
20	M/o Science & Technology	2.50	4.35	8.30
21	D/o Social Justice and Empowerment	72.50	79.27	72.50
22	M/o Textiles	5.00	2.80	16.60
23	M/o Woman and Child Development	20.00	16.91	20.00
24	M/o Youth Affairs and Sports	16.20	25.28	25.28

#Percentage of earmarking for DAPSC is higher because denominator comprises of only CS & CSS.

DAPST (2011 Census)

S.No.	Ministries/Departments	Existing % of earmarking	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPST*
1	D/o Agriculture & Cooperation	8.00	7.97	8.60
2	D/o Agriculture Research and Education	3.60	3.46	4.30
3	M/o AYUSH	2.00	4.03	4.30
4	M/o Coal	8.20	4.49	8.60
5	D/o Telecommunication	0.25	0.26	4.30
6	D/o food and Public Distribution	1.40	0.00	4.30
7	M/o Culture	2.00	4.80	4.30
8	M/o Drinking Water and Sanitation	10.00	10.00	10.00
9	M/o Electronics and Information Technology	6.70	4.67	6.70
10	D/o Health and Family Welfare	8.20	8.58	8.60
11	M/o Housing and Urban Poverty Alleviation	2.40	2.39	4.30
12	D/o School Education & Literacy	10.70	12.49	12.49
13	D/o Higher Education	7.50	26.73#	8.60
14	M/o Labour and Employment	8.20	8.33	8.60
15	M/o Micro, Small and Medium Enterprises	8.20	7.73	8.60
16	M/o Mines	4.00	0.00	4.30
17	M/o Panchayati Raj	8.20	8.09	8.60
18	M/o Road Transport and Highways	3.50	0.62	4.30
19	M/o Rural Development	17.50	5.63	17.50
20	D/o Land Resources	10.00	9.78	10.00
21	M/o Science & Technology	2.50	4.35	4.30
22	M/o Textiles	1.20	1.04	8.60
23	M/o Tourism	2.50	2.54	4.30
24	M/o Tribal Affairs	100.00	139.47*	100.00
25	M/o WR, RD and GR	0.73	0.83	8.60
26	M/o Woman and Child Development	8.20	6.50	8.60
27	M/o Youth Affairs and Sports	8.20	13.26	8.60

*Percentage of earmarking is higher for M/o Tribal Affairs because total allocation of the Ministry i.e. Rs.5300 crore except Secretariat expenditure of Rs. 29 crore, is allocation for DAPST but denominator comprises of only CSS & CS (Rs. 3800 crore).

#Percentage of earmarking for DAPST is higher because denominator comprises of only CS & CSS.

Category B: Non-Obligated Ministry /Departments but allocating in 2017-18

DAPSC

S.No.	Ministries/Departments	Existing % of earmarking for DAPSC	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPSC *
1	D/o Empowerment of persons with Disabilities	0.00	20.25	20.25
2	M/o Skill Development and Entrepreneurship	0.00	16.09	16.60

DAPST

S.No.	Ministries/Departments	Existing % of earmarking for DAPST	Actual earmarking % in 2017-18	Proposed % for earmarking to DAPST *
1	M/o Development of North East Region	0.00	27.18	27.18
2	M/o Environment & Forest	0.00	0.29	8.60
3	M/o New and Renewable Energy	0.00	1.74	8.60
4	M/o Skill Development and Entrepreneurship	0.00	8.14	8.60
5	D/o Empowerment of Persons with Disabilities	0.00	10.17	10.17

Category C: Ministries/Departments which are earmarking for either DAPSC or DAPST

Ministries/Departments which are earmarking for DAPST should also earmark for DAPSC

S. No.	Ministries/Departments	Existing % of earmarking for DAPST	Proposed % for earmarking to DAPSC*
1	D/o Agriculture Research and Education	3.46	8.30
2	M/o Coal	4.49	8.30
3	D/o Telecommunication	0.26	8.30
4	D/o Food and Public Distribution	0.00	8.30
5	M/o Culture	4.30	8.30
6	M/o Mines	0.00	8.30
7	M/o Road Transport and Highways	0.62	8.30
8	M/o Tourism	2.54	8.30
9	M/o WR, RD and GR	0.83	8.30

*At least 50% of population proportion (8.30%)

Ministries/Departments which are earmarking for DAPSC should also earmark for DAPST

S. No.	Ministries/Departments	Existing % of earmarking for DAPSC	Proposed % of earmarking for DAPST
1	D/o Animal Husbandry, Dairying and Fisheries	16.18	8.60#
2	M/o Commerce	1.07	4.30
3	M/o Power	9.29	8.60#

#These Ministries implement direct beneficiary oriented programme, they are obligated in proportion to population (ST-8.60%)

Category D: Ministries/Departments which are Non-Obligated

New Ministries / Departments obligated for earmarking and implementing DAPSC & DAPST

Sl. No.	Ministries/Departments	Existing	Proposed % for DAPSC*	Proposed % for DAPST*
1	D/o Fertilizers	00	8.30	4.30
2	D/o Pharmaceuticals	00	8.30	4.30
3	D/o Consumer Affairs	00	8.30	4.30
4	M/o Food Processing Industries	00	8.30	4.30
5	M/o Petroleum and Natural gas	00	8.30	4.30
6	M/o Urban Development	00	8.30	4.30

*At least 50% of population proportion (SCs 8.30% & STs 4.30%)
